

## INNOVATIVE AND FLEXIBLE OPERATIONAL DUE DILIGENCE ("ODD")

*perFORM Due Diligence Services Limited (perFORM), a JTC Group company, is an award-winning, multijurisdictional and standalone provider of Operational Due Diligence Services with 150+ years of collective ODD experience. Clients are global and diverse, including Investment Managers, Allocators (e.g., Family Offices/Multi-Family Offices, Private Banks, Wealth Managers, Funds of Funds, Asset Managers, Pension Funds, Endowments, Foundations), Third Party Service Providers and Sports Teams.*

ODD provides a risk assessment of the operations, infrastructure, people and trustworthiness of a firm or fund. It aims to answer questions for investors, such as 'do my assets exist?', 'are they safeguarded from fraud?', 'are they valued correctly?' and 'is this Firm reliable?' This helps clients avoid losses and reduce the risk being associated with bad actors.

### ABOUT US

- Award-winning
- Launched in 2019 as the only London-based and technology-enabled ODD service of its kind
- Multijurisdictional team in UK (London), Switzerland (Geneva), USA (NYC), Asia (Hong Kong) and UAE (Abu Dhabi), practitioner-led ODD business with 150+ years of collective ODD experience
- Considered a leader in the field of ODD across asset classes
- Large and fast-growing coverage of Investment Managers, Funds and Service Providers
- Over 300 operational due diligence reviews completed since the start of 2023

*"We believe in operational excellence, doing things 'the right way' and holding ourselves accountable for delivering institutional-grade asset management. Working with perFORM to review our processes thoroughly is key to ensuring our investors have independent insight, understanding, and confidence in how we manage their assets"*

*Investment Manager ODD Report Solution client*

*"perFORM bring a high level of knowledge and expertise to their work and the quality of their output is consistently high. We are comfortable appointing perFORM across the full range of asset classes"*

*Asset Manager*

### OUR CLIENTS

perFORM clients are truly global and diverse including: Investment Managers, Allocators (e.g., Family Offices / Multi-Family Offices, Private Banks, Wealth Managers, Fund of Funds, Asset Managers, Pensions, Endowments), Service Providers, Virtual Asset Service Providers, and Sports Teams.

*"One of the most valuable parts of perFORM's offering, aside from the team's impressive knowledge and experience, is their range of service. We have benefitted from in-depth ODD reviews of managers, ongoing monitoring, ODD therapy on prospects, in addition to many other areas."*

*Fund of Funds*

Asset class and structure agnostic: Our services extend across hedge funds, private equity and credit, real estate, infrastructure, direct lending, venture capital, digital assets (crypto), and long only.

*"We have been very impressed with the perFORM team and their rigorous approach to operational due diligence. They are clearly very passionate about ODD. Their insights and reporting have been of the highest standards and form an integral part of our investment process for manager selection."*

*Wealth Manager*

*"perFORM's ODD Therapy service was thorough and effective. The team's careful guidance and support helped us successfully obtain an investment from a large, institutional investor"*

*Hedge Fund Manager*

## FIVE CORE SERVICES

### ON-DEMAND ODD

- > Multi-managers and Allocators choose from fully outsourced services to co-partnering initiatives.
- > Clients benefit from access to our experienced team, including a peer review of their own internal ODD standards, questions and what 'good' and 'bad' looks like.
- > We offer flexible Initial and Monitoring ODD on Investment Managers/Funds.

### INVESTMENT MANAGER ODD THERAPY

- > Helping Investment Managers to be 'ODD-ready' to successfully raise and retain assets from Allocators.
- > Demonstrating a willingness by the Investment Manager to achieve, and maintain, operational best practice.
- > Consultancy services range from internal control and documentation review, role play and priorities for action.

### SERVICE PROVIDER DUE DILIGENCE

- > Assisting with the need to select, monitor and improve the services they receive from administrators, and other vendors.
- > Addressing clients' and regulators' concerns over the effectiveness of service provider oversight.
- > Renegotiating existing terms and arrangements.
- > We offer reviews and reports on all service providers (including digital asset service providers) e.g., fund administrator, custodian, lender / prime, exchange, technology / systems provider.).

### ODD REPORT SOLUTION

- > A pragmatic solution for Investment Managers and Service Providers, which engage perform to complete an ODD review. The accompanying ODD report is available to all their chosen clients and prospects.
- > We offer an ODD report to meet demands in a proactive, efficient and impactful way. Our reports significantly reduce the amount of time spent managing inbound due diligence requests.

### ENHANCED BACKGROUND CHECKS

- > Cost-effective and tailored individual and entity level multijurisdictional background checks.
- > Alternative data solutions capturing, for example, ultimate beneficial ownership, regulatory screening, media coverage, and criminal checks.

## WHY WORK WITH US?

- > Core Competency
- > Flexibility and Agility
- > Reputation and Credibility
- > High Touch, High Quality Service
- > Technology Edge
- > Thought Leadership



## MEET THE TEAM



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